



Local Educational Agency

California Assessment of Student Performance and Progress

2015 Student Data File Layout

Results for the following:

Smarter Balanced Summative Assessments for
English Language Arts/Literacy and Mathematics

CSTs for Science, CMA for Science, CAPA for Science,
and STS for Reading/Language Arts

Contact Information

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Introduction and Notes

This document contains the file layout and data definitions for the 2015 California Assessment of Student Performance and Progress (CAASPP) assessments administered by your local educational agency (LEA) in 2015. The data include student demographic information, test scores, and test administration information. In addition to the file layout, the following reference tables that define the code sets for selected fields are provided beginning on page 21:

- Primary Language Codes
- Primary Disability Codes
- Translation Glossaries Codes (Embedded Support)
- Translation Glossaries Codes (Non-Embedded Support)
- Include Indicators for Aggregate Reporting
- Scale Score Ranges for Smarter Balanced Summative Assessments
- Scale Score Ranges for CAASPP Paper-Pencil Tests

The notes in this section provide important information concerning key elements of the file layout

Student Demographics

Student demographic data are derived from the California Longitudinal Achievement Data System (CALPADS) and reflect student demographics as recorded in CALPADS prior to testing. **Note that the source for each field is indicated in the file layout.**

Data sources are as follows:

- CALPADS—Extracted from CALPADS
- Derived—Created during generation of the student data file
- Scoring—Created as a result of scoring
- Test Delivery—Extracted during processing of submitted online and paper assessments
- Test Operations Management System (TOMS)—Extracted from TOMS

Score Data

Score data include the overall scale scores and performance/achievement levels for all assessments. Raw scores are only provided for the science and Standards-based Tests in Spanish (STS) assessments. Also included are the claim performance levels for the Smarter Balanced assessments (scale scores are not reported by Smarter Balanced for claims). The standard errors of measurement (SEMs) of the Smarter Balanced scale scores are provided as well as the lower and upper bounds of the error band representing +/- one SEM.

Test Administration Data

Test administration data include flags and condition codes describing specific elements of test administration. These include flags for attemptedness, special testing conditions (cheating, parent opt out, etc.), and indicators of the embedded or non-embedded supports to which students were given access during the assessments.

CAASPP California Assessment of Student Performance and Progress	CST California Standards Test	RLA reading/language arts
CALPADS California Longitudinal Pupil Achievement Data System	ELA English language arts/literacy	SEM standard error of measurement
CAPA California Alternate Performance Assessment	LEA local educational agency	SGID school and grade identification sheet
CAT computer adaptive test	LEP limited English proficient	SSID Statewide Student Identifier
CDE California Department of Education	LOSS lowest obtainable scale score	STS Standards-based Tests in Spanish
CMA California Modified Assessment	PT performance task	UIN unique identification number

Fields of Note

The following fields are of particular importance:

Field #	Section	Additional Explanation
1	Record Type	Students who take the California Alternate Performance Assessment (CAPA) for Science or the STS for Reading/Language Arts (RLA) will have separate records for these two assessments. This field indicates the type of each record in the file as being one of the following: <ol style="list-style-type: none"> 1. a standard test administration set (Smarter Balanced for English Language Arts/Literacy [ELA]/ Mathematics, California Standards Tests [CSTs]/ California Modified Assessment [CMA] for Science); 2. a CAPA for Science record; or 3. an STS for RLA record.
2	UIN (unique identification number)	Because a student who takes Smarter Balanced Summative Assessments and/or a science test might also take the STS, the UIN, which is unique for every record in the file, may be used to join the records together so that all student information can be matched.
4	Confirmation Code	This is the code used in conjunction with the student's Statewide Student Identifier (SSID) to log on to the Smarter Balanced Online Summative Assessments.
8–43	Test Location Information	School information is provided multiple times in the data file because students may be associated with more than one school if they started one of the Smarter Balanced Summative Assessments (in the content areas of ELA or mathematics; and/or the performance task [PT] or non-performance tasks [non-PTs] sections) in one location and completed it in another. Note that for the Smarter Balanced assessments, the term “non-PT” refers to both the computer adaptive test (CAT) and the multiple-choice portion of the Smarter Balanced paper-pencil assessments. A separate school also can be associated with the paper-pencil tests in science or RLA.
57–59	Special Conditions—NTE	“NTE” indicates a student was not tested because of illness, a medical emergency, or relocation. Students with this code will not be included in the calculation of participation rates for Smarter Balanced Assessments.

Field #	Section	Additional Explanation
60–62	Special Conditions—USA	“USA” indicates a student used an individualized aid and designates whether or not the use of the aid changed the construct being measured. Students whose records contain a value of 2 in this field will not be counted as participants for accountability and will receive the lowest performance level for the assessment (and will also be indicated by an Include Indicator code of R [fields 220–222]).
71–72	Special Conditions—LOSS	Data in this field indicate that a student did not meet the Attemptedness criteria for a Smarter Balanced assessment. Students must answer at least 10 non-PT items and at least one PT item to receive a valid score. Students with the condition LOSS will also be indicated by an Include Indicator of T (fields 220–222) and will receive the lowest obtainable scale score (LOSS) for the assessment. Scale score ranges for Smarter Balanced Summative Assessments are listed in the Reference Tables on page 23.
213–214	CAASPP Smarter Balanced Attemptedness Flag	This flag designates students who did not log on to one or both parts of a test (non-participant), those who logged on to both parts of a test (participant), and those who completed the minimum number of items required to receive a score on a test (more than 10 non-PT items and more than one PT item). These students receive a value of Y.
219	Results Reported (CST or CMA Science Results)	A number in this field designates whether the student took a CST for Science (= 1) or CMA for Science (= 2).
220–222	Include Indicator for Aggregate Reporting	Include Indicators, when set, indicate how a result for an assessment will be treated for reporting number tested and aggregate data including performance/achievement level proportions and scale score averages, and codify why a student’s score may not be reported. Include Indicators also show whether or not a student has taken required tests; a student with no record of participation in an assessment receives the designation N, for “not tested.” See the Reference Table on page 23 for more information.
238–243	CAASPP Smarter Balanced Scale Scores Error Bands	A random variation in individual scores that is quantified through the use of a statistic of measurement precision called the SEM. SEMs are shown in bands or ranges. The maximum and minimum scale scores for +/- one SEM are also provided.

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 STS Standards-based Tests in Spanish
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Additional Resources and Definitions

- *CAASPP Post-Test Guide*—http://www.caaspp.org/rsc/pdfs/CAASPP.post-test_guide.2015.pdf
- California Department of Education (CDE) Student Accessibility Web page—<http://www.cde.ca.gov/ta/tg/ca/accesssupport.asp>
- CDE California Longitudinal Pupil Achievement Data System (CALPADS) Web page—<http://www.cde.ca.gov/ds/sp/cl/>

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Data Layout

Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
1	1	2	2	Record Type	Numeric 01 = Smarter Balanced for ELA and Mathematics CST for Science CMA Science 02 = CAPA for Science 03 = STS for RLA	Derived
2	3	18	16	UIN (unique identification number)	Numeric	TOMS CTR ID
STUDENT INFORMATION						
3	19	128	110	Student Name Last Name (19–68) [50 char.] First Name (69–98) [30 char.] Middle Name (99–128) [30 char.]	Alpha (A–Z) or blank	CALPADS LastOrSurname FirstName MiddleName
4	129	158	30	Confirmation Code (non-unique identifier assigned by the local educational agency [LEA])	Alpha (0–9) or blank; data will be right-justified	CALPADS ConfirmationCode
5	159	168	10	Statewide Student Identifier (SSID)	Alpha (0–9)—Valid SSID or blank	CALPADS SSID
6	169	178	10	Date of Birth	Numeric (YYYY-MM-DD) or blank	CALPADS DateOfBirth
7	179	184	6	Gender	Female, Male, or blank	CALPADS Sex
TEST LOCATION INFORMATION						
CAASPP Smarter Balanced Online Assessments for English Language Arts/Literacy (ELA)						
8	185	224	40	LEA Name ELA PT	Alpha	CALPADS District Name
9	225	231	7	County/District Code ELA PT	Alpha (0–9)	CALPADS ResponsibleDistrictIdentifier
10	232	271	40	School Name ELA PT	Alpha	CALPADS School Name
11	272	278	7	School Code ELA PT	Alpha (0–9)	CALPADS ResponsibleSchoolIdentifier
12	279	280	2	Charter School Directly Funded Indicator ELA PT	Alpha (DF = directly funded charter school) or blank	CALPADS Charter Status
13	281	288	8	Test Completion Date ELA PT (scanned date for paper tests)	Numeric (MMDDYYYY) or blank; from CAT or school and grade identification (SGID) sheet	Test Delivery
14	289	328	40	LEA Name ELA Non-PT	Alpha	CALPADS District Name
15	329	335	7	County/District Code ELA Non-PT	Alpha (0–9)	CALPADS ResponsibleDistrictIdentifier

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16	336	375	40	School Name ELA Non-PT	Alpha	CALPADS School Name
17	376	382	7	School Code ELA Non-PT	Alpha (0–9)	CALPADS ResponsibleSchoolIdentifier
18	383	384	2	Charter School Directly Funded Indicator ELA Non-PT	Alpha (DF = directly funded charter school) or blank	CALPADS Charter Status
19	385	392	8	Testing Completion Date ELA Non-PT (scanned date for paper tests)	Numeric (MMDDYYYY) or blank; from CAT or SGID	Test Delivery
CAASPP Smarter Balanced Online Assessments for Mathematics						
20	393	432	40	LEA Name Mathematics PT	Alpha	CALPADS District Name
21	433	439	7	County/District Code Mathematics PT	Alpha (0–9)	CALPADS ResponsibleDistrictIdentifier
22	440	479	40	School Name Mathematics PT	Alpha	CALPADS School Name
23	480	486	7	School Code Mathematics PT	Alpha (0–9)	CALPADS ResponsibleSchoolIdentifier
24	487	488	2	Charter School Directly Funded Indicator Mathematics PT	Alpha (DF = directly funded charter school) or blank	CALPADS Charter Status
25	489	496	8	Test Completion Date Mathematics PT (scanned date for paper tests)	Numeric (MMDDYYYY) or blank; from CAT or SGID	Test Delivery
26	497	536	40	LEA Name Mathematics Non-PT	Alpha	CALPADS District Name
27	537	543	7	County/District Code Mathematics Non-PT	Alpha (0–9)	CALPADS ResponsibleDistrictIdentifier
28	544	583	40	School Name Mathematics Non-PT	Alpha	CALPADS School Name
29	584	590	7	School Code Mathematics Non-PT	Alpha (0–9)	CALPADS ResponsibleSchoolIdentifier
30	591	592	2	Charter School Directly Funded Indicator Mathematics Non-PT	Alpha (DF = directly funded charter School) or blank	CALPADS Charter Status
31	593	600	8	Testing Completion Date Mathematics Non-PT (scanned date for paper tests)	Numeric (MMDDYYYY) or blank; from CAT or SGID	Test Delivery
CAASPP Paper-Pencil Tests for Science						
32	601	640	40	LEA Name California Standards Tests (CSTs) for Science, California Modified Assessment (CMA) for Science, California Alternate Performance Assessment (CAPA) for Science	Alpha	CALPADS District Name
33	641	647	7	County/District Code CST for Science, CMA for Science, CAPA for Science	Alpha (0–9)	CALPADS ResponsibleDistrictIdentifier

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Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
34	648	687	40	School Name CST for Science, CMA for Science, CAPA for Science	Alpha	CALPADS School Name
35	688	694	7	CST for Science, CMA for Science, CAPA for Science	Alpha (0–9)	CALPADS ResponsibleSchoolIdentifier
36	695	696	2	Charter School Directly Funded Indicator CST for Science, CMA for Science, CAPA for Science	Alpha (DF = directly funded charter school) or blank	CALPADS Charter Status
37	697	704	8	Testing Date (date scanned) CST for Science, CMA for Science, CAPA for Science	Numeric (MMDDYYYY) or blank; from SGID	Test Delivery
CAASPP Paper-Pencil Tests for Reading/Language Arts (RLA) (STS)						
38	705	744	40	LEA Name STS for RLA	Alpha	CALPADS District Name
39	745	751	7	County/District Code STS for RLA	Alpha (0–9)	CALPADS ResponsibleDistrictIdentifier
40	752	791	40	School Name STS for RLA	Alpha	CALPADS School Name
41	792	798	7	School Code STS for RLA	Alpha (0–9)	CALPADS ResponsibleSchoolIdentifier
42	799	800	2	Charter School Directly Funded Indicator STS for RLA	Alpha (DF = directly funded charter school) or blank	CALPADS Charter Status
43	801	808	8	Testing Date (date scanned) STS for RLA	Numeric (MMDDYYYY) or blank; from SGID	Test Delivery
GRADE TESTED INFORMATION						
44	809	810	2	Enrolled Grade	Numeric (02–11) (Leading zero if applicable)	CALPADS GradeLevelWhenAssessed
45	811	812	2	Grade Tested Smarter Balanced for ELA	Numeric (02–11) (Leading zero if applicable)	Test Delivery
46	813	814	2	Grade Tested Smarter Mathematics	Numeric (02–11) (Leading zero if applicable)	Test Delivery
47	815	816	2	Grade Tested CST/CMA/CAPA for Science	Numeric (02–11) (Leading zero if applicable)	Test Delivery
48	817	818	2	Grade Tested STS for RLA	Numeric (02–11) (Leading zero if applicable)	Test Delivery
49	819	819	1	CAPA Level	1 = I 4 = IV 3 = III 5 = V	Test Delivery
OTHER STUDENT INFORMATION						
50	820	826	7	County/District of Residence— County and district code for students with individualized education programs (IEPs) if residence is other than where student attends school/receives services	Numeric (0–9)	CALPADS ResponsibleDistrictIdentifier

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51	827	827	1	Demographic information only (Test not taken)	Alpha or blank Y = Yes (demographic information only—no test items marked) Blank = Test information present	Derived
SPECIAL CONDITIONS						
Special Conditions—(ABS) The student was absent.						
52	828	828	1	STS for RLA	Y or blank	Test Delivery
53	829	829	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10) CAPA for Science (Grades 5, 8, 10)		
Special Conditions—(C) The student was observed cheating						
54	830	830	1	Smarter Balanced for ELA STS for RLA	Y or blank	TOMS
55	831	831	1	Smarter Balanced for Mathematics		
56	832	832	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
Special Conditions—(NTE) The student answer document is blank or one of these reasons were indicated (student moved, illness, medical emergency, or tested at previous school) and no other reason (PGE or ABS) was indicated.						
57	833	833	1	Smarter Balanced for ELA STS for RLA	Y or blank	Test Delivery
58	834	834	1	Smarter Balanced for Mathematics		
59	835	835	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10) CAPA for Science (Grades 5, 8, 10)		
Special Conditions—(USA) Student used an individualized aid.						
60	836	836	1	Smarter Balanced for ELA STS for RLA	1, 2, or blank 1 = Did not change construct 2 = Changed construct	TOMS
61	837	837	1	Smarter Balanced for Mathematics		
62	838	838	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
Special Conditions—(PGE) The parent/guardian requested an exemption from testing.						
63	839	839	1	Smarter Balanced for ELA STS for RLA	Y or blank	TOMS
64	840	840	1	Smarter Balanced for Mathematics		
65	841	841	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10) CAPA for Science (Grades 5, 8, 10)		

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Special Conditions—(T) The student tested but marked no answers.						
66	842	842	1	Smarter Balanced for ELA, paper STS for RLA	Y or blank	Scoring
67	843	843	1	Smarter Balanced for Mathematics, paper		
68	844	844	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
Special Conditions—(INC, Incomplete test) The student did not answer a sufficient number of questions to produce a valid score.						
69	845	845	1	STS for RLA	Y or blank	Scoring
70	846	846	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
Special Conditions—(LOSS) The student attempted, but did not meet, the attemptedness threshold of CAT and PT items.						
71	847	847	1	Smarter Balanced for ELA	Y or blank See the Reference Table on page 23 for scale score ranges.	Scoring
72	848	848	1	Smarter Balanced for Mathematics		
Special Conditions—(NE) The student took the STS for RLA but is not an English Learner.						
73	849	849	1	STS for RLA	Y or blank	Scoring
PROGRAM PARTICIPATION						
74	850	852	3	Section 504 Status	Yes, No, or blank	CALPADS Section504Status
75	853	855	3	Individuals with Disabilities Education Act (IDEA) Indicator	Yes, No, or blank	CALPADS IDEAIndicator
EMBEDDED SUPPORTS						
Embedded Supports—American Sign Language						
76	856	856	1	Smarter Balanced for ELA	A (accommodation) or blank	TOMS
77	857	857	1	Smarter Balanced for Mathematics		
Embedded Supports—Braille						
78	858	858	1	Smarter Balanced for ELA	A (accommodation) or blank	TOMS
79	859	859	1	Smarter Balanced for Mathematics		
Embedded Supports—Closed Captioning						
80	860	860	1	Smarter Balanced for ELA	A (accommodation) or blank	TOMS
Embedded Supports—Color Contrast						
81	861	862	2	Smarter Balanced for ELA	D1–D5 or blank D1 = Designated support/ Black on White D2 = Designated support/ Reverse contrast D3 = Designated support/ Black on rose D4 = Designated support/ Medium gray on light gray D5 = Designated support/ Yellow on blue	TOMS
82	863	864	2	Smarter Balanced for Mathematics		

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Embedded Supports—Masking						
83	865	865	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
84	866	866	1	Smarter Balanced for Mathematics		
Embedded Supports—Permissive Mode						
85	867	867	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
86	868	868	1	Smarter Balanced for Mathematics		
Embedded Supports—Streamlining						
87	869	869	1	Smarter Balanced for ELA	A (accommodation) or blank	TOMS
88	870	870	1	Smarter Balanced for Mathematics		
Embedded Supports—Text-to-Speech						
89	871	872	2	Smarter Balanced for ELA	D1–D3, A1–A3, or blank D1 = Designated support/ Items (ELA) D2 = Designated support/ Stimuli and Items (Mathematics) D3 = Designated support/ Items (ELA) AND Stimuli and Items (Mathematics) A1 = Accommodation/Items A2 = Accommodation/ Passages A3 = Accommodations/Items and Passages	TOMS
90	873	874	2	Smarter Balanced for Mathematics		
Embedded Supports—Translated Test Directions						
91	875	875	1	Smarter Balanced for Mathematics	D (designated support) or blank	TOMS
Embedded Supports—Translations (Glossary)						
92	876	878	3	Smarter Balanced for Mathematics	D01–D22 or blank See the Reference Tables that start on page 22 for numeric values for this designated support.	TOMS
Embedded Supports—Translations (Stacked)						
93	879	880	2	Smarter Balanced for Mathematics	D1–D3 or blank D1 = Designated support/ English D2 = Designated support/ Braille D3 = Designated support/ Spanish (Mathematics only)	TOMS Test Delivery
Embedded Supports—Turn off Any Universal Tool						
94	881	881	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
95	882	882	1	Smarter Balanced for Mathematics		

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Non-Embedded Supports						
	Non-Embedded Supports—Administration of the test at the most beneficial time of day (for answer documents, option L in Section A3 was marked)					
96	883	883	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
97	884	884	1	Smarter Balanced for Mathematics		
98	885	885	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
99	886	886	1	STS for RLA		
	Non-Embedded Supports—Abacus (for answer documents, option X in Section A3 was marked)					
100	887	887	1	Smarter Balanced for Mathematics	A (accommodation) or blank	TOMS
101	888	888	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
	Non-Embedded Supports—Alternate Response Options (for answer documents, option F in Section A3 was marked)					
102	889	889	1	Smarter Balanced for ELA	A (accommodation) or blank	TOMS
103	890	890	1	Smarter Balanced for Mathematics		
	Non-Embedded Supports—American Sign Language (for answer documents, option O in Section A3 was marked)					
104	891	891	1	Smarter Balanced for ELA	I (individualized aid) or blank	TOMS
105	892	892	1	Smarter Balanced for Mathematics		
106	893	893	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
107	894	894	1	STS for RLA		
	Non-Embedded Supports—Bilingual Dictionary					
108	895	895	1	Smarter Balanced for ELA	D (designated support), I (individualized aid), or blank	TOMS
109	896	896	1	Smarter Balanced for Mathematics		
110	897	897	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
111	898	898	1	STS for RLA		
	Non-Embedded Supports—Braille (for answer documents, option G in Section A3 was marked); paper tests only					
112	899	899	1	Smarter Balanced for ELA	A (accommodation) or blank	TOMS
113	900	900	1	Smarter Balanced for Mathematics		
114	901	901	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
115	902	902	1	STS for RLA		
	Non-Embedded Supports—Calculator (for answer documents, option Q in Section A3 was marked)					
116	903	903	1	Smarter Balanced for Mathematics	A (accommodation), I (individualized aid), or blank	TOMS
117	904	904	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		

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 LEA local educational agency
 LEP limited English proficient
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 PT performance task

RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
	Non-Embedded Supports—Color Contrast					
118	905	905	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
119	906	906	1	Smarter Balanced for Mathematics		
	Non-Embedded Supports—Color Overlay					
120	907	907	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
121	908	908	1	Smarter Balanced for Mathematics		
	Non-Embedded Supports—English Dictionary (for answer documents, option N in Section A3 was marked)					
122	909	909	1	Smarter Balanced for ELA	I (individualized aid) or blank	TOMS
123	910	910	1	Smarter Balanced for Mathematics		
124	911	911	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
125	912	912	1	STS for RLA		
	Non-Embedded Supports—Individualized Aid—non-specific (for answer documents, option Y in Section A3 was marked)					
126	913	913	1	Smarter Balanced for ELA	I (individualized aid) or blank	TOMS
127	914	914	1	Smarter Balanced for Mathematics		
128	915	915	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
129	916	916	1	STS for RLA		
	Non-Embedded Supports—Large-print (for answer documents, option H in Section A3 was marked); paper tests only					
130	917	917	1	Smarter Balanced for ELA	A (accommodation), or blank	TOMS
131	918	918	1	Smarter Balanced for Mathematics		
132	919	919	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
133	920	920	1	STS for RLA		
	Non-Embedded Supports—Magnification					
134	921	921	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
135	922	922	1	Smarter Balanced for Mathematics		
136	923	923	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
137	924	924	1	STS for RLA		
	Non-Embedded Supports—Math Tools (for answer documents, option S in Section A3 was marked)					
138	925	925	1	Smarter Balanced for Mathematics	I (individualized aid) or blank	TOMS
	Non-Embedded Supports—Multiplication Table (for answer documents, option R in Section A3 was marked)					
139	926	926	1	Smarter Balanced for Mathematics	A (accommodation), I (individualized aid), or blank	TOMS

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
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CST California Standards Test
 ELA English language arts/literacy
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 STS Standards-based Tests in Spanish
 UIN unique identification number

Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
Non-Embedded Supports—Noise Buffers						
140	927	927	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
141	928	928	1	Smarter Balanced for Mathematics		
142	929	929	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
143	930	930	1	STS for RLA		
Non-Embedded Supports—Print on Demand						
144	931	932	2	Smarter Balanced for ELA	A1–A3 or blank A1 = Accommodation/Stimuli A2 = Accommodation/Items A3 = Accommodations/Items and Stimuli	TOMS
145	933	934	2	Smarter Balanced for Mathematics		
Non-Embedded Supports—Read Aloud (for answer documents, option Z in Section A3 was marked)						
146	935	935	1	Smarter Balanced for ELA	I (individualized aid) or blank	TOMS
147	936	936	1	Smarter Balanced for Mathematics		
148	937	937	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
149	938	938	1	STS for RLA		
Non-Embedded Supports—Scribe (for answer documents, option B or C in Section A3 was marked)						
150	939	939	1	Smarter Balanced for ELA	D (designated support), A (accommodation), or blank	TOMS
151	940	940	1	Smarter Balanced for Mathematics		
152	941	941	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
153	942	942	1	STS for RLA		
Non-Embedded Supports—Separate Setting (for answer documents, option M in Section A3 was marked)						
154	943	943	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
155	944	944	1	Smarter Balanced for Mathematics		
156	945	945	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
157	946	946	1	STS for RLA		
Non-Embedded Supports—Special Lighting or Acoustics						
158	947	947	1	Smarter Balanced for ELA	D (designated support) or blank	TOMS
159	948	948	1	Smarter Balanced for Mathematics		
160	949	949	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
161	950	950	1	STS for RLA		

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
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 CAT computer adaptive test
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 ELA English language arts/literacy
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RLA reading/language arts
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 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
Non-Embedded Supports—Speech-to-Text (for answer documents, option U in Section A3 was marked)						
162	951	951	1	Smarter Balanced for ELA	A (accommodation) or blank	TOMS
163	952	952	1	Smarter Balanced for Mathematics		
164	953	953	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
165	954	954	1	STS for RLA		
Non-Embedded Supports—Thesaurus						
166	955	955	1	Smarter Balanced for ELA	I (individualized aid) or blank	TOMS
167	956	956	1	Smarter Balanced for Mathematics		
168	957	957	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
169	958	958	1	STS for RLA		
Non-Embedded Supports—Translated Test Directions						
170	959	959	1	Smarter Balanced for ELA	D (designated support), I (individualized aid), or blank	TOMS
171	960	960	1	Smarter Balanced for Mathematics		
172	961	961	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
173	962	962	1	STS for RLA		
Non-Embedded Supports—Translations (Glossary)						
174	963	965	3	Smarter Balanced for ELA	D01–D12, I01–I12, or blank (See the Reference Tables that start on page 22 for numeric values for this designated support/ individualized aid.)	TOMS
175	966	968	3	Smarter Balanced for Mathematics		
176	969	971	3	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10)		
177	972	974	3	STS for RLA		
SECTION A1 SCORING USE ONLY						
There were Adult Testing Irregularities (Box A1—Scoring Use Only—Row 1)—paper tests only						
178	975	975	1	Column 1: Smarter Balanced for ELA STS for RLA	Y or blank	Test Delivery
179	976	976	1	Column 2: Smarter Balanced for Mathematics		
180	977	977	1	Column 3: Not Assigned		
181	978	978	1	Column 4: CST, CMA, CAPA for Science— Grades 5, 8, and 10		

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
 CDE California Department of Education
 CMA California Modified Assessment

CST California Standards Test
 ELA English language arts/literacy
 LEA local educational agency
 LEP limited English proficient
 LOSS lowest obtainable scale score
 PT performance task

RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
	There was Inappropriate Test Preparation (Box A1—Scoring Use Only—Row 2)—paper tests only					
182	979	979	1	Column 1: Smarter Balanced for ELA STS for RLA	Y or blank	Test Delivery
183	980	980	1	Column 2: Smarter Balanced for Mathematics		
184	981	981	1	Column 3: Not Assigned		
185	982	982	1	Column 4: CST, CMA, CAPA for Science— Grades 5, 8, and 10		
LANGUAGE PROFICIENCY INFORMATION						
186	983	1014	32	English Language Proficiency Level	Advanced, Beginning, Early Advanced, Early Intermediate, Intermediate, or blank	CALPADS EnglishLanguagePro ficiencyLevel
187	1015	1017	3	Migrant Status	Yes, No, or blank	CALPADS MigrantStatus
188	1018	1020	3	LEP (Limited English Proficient) Status	Yes, No, or blank	CALPADS LEPStatus
189	1021	1030	10	LEP Entry Date	Numeric or blank YYYY-MM-DD	CALPADS LimitedEnglishProf iciencyEntryDate
190	1031	1040	10	LEP Exit Date	Numeric or blank YYYY-MM-DD	CALPADS LEPExitDate
191	1041	1050	10	First Date in U.S. School	Numeric or blank YYYY-MM-DD	CALPADS FirstEntryDateInto USSchool
192	1051	1054	4	English Language Acquisition Status	Left-justified, trailing spaces EO = English or American Sign Language only IFEP = Initially fluent English proficient (I-FEP) EL = English learner (EL) RFEP = Reclassified fluent English proficient (R-FEP) TBD = To be determined Blank = No response	CALPADS EnglishLanguageAcq uisitionStatus
193	1055	1057	3	Language Code	Alpha or blank (Codes are in the Reference Table on page 21)	CALPADS LanguageCode
BACKGROUND INFORMATION AND SPECIAL EDUCATION SERVICES						
194	1058	1060	3	Economic Disadvantage Status	Yes, No, or blank	CALPADS EconomicDisadvanta geStatus
195	1061	1063	3	Primary Disability Type	Alpha or blank (Codes are in the Reference Table on page 22)	CALPADS PrimaryDisabilityT ype
196	1064	1070	7	Nonpublic, nonsectarian (NPS) School Code	Numeric (0–9) or blank	CALPADS ResponsibleSchoolI dentifier

CAASPP California Assessment of Student Performance and Progress
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 CAPA California Alternate Performance Assessment
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 CMA California Modified Assessment

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 ELA English language arts/literacy
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 UIN unique identification number

Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
Ethnicity/Race						
197	1071	1073	3	Hispanic or Latino	Yes, No, or blank	CALPADS HispanicOrLatinoEthnicity
198	1074	1076	3	American Indian or Alaska Native	Yes, No, or blank Note: Students with no reported ethnicity in CALPADS are coded as Two or More Races (field 204).	CALPADS AmericanIndianOrAlaskaNative
199	1077	1079	3	Asian		CALPADS Asian
200	1080	1082	3	Native Hawaiian or Other Pacific Islander		CALPADS NativeHawaiianOrOtherPacificIslander
201	1083	1085	3	Filipino		CALPADS Filipino
202	1086	1088	3	Black or African American		CALPADS BlackOrAfricanAmerican
203	1089	1091	3	White		CALPADS White
204	1092	1094	3	Two or More Races		CALPADS DemographicRaceTwoOrMoreRaces
205	1095	1097	3	Reporting Ethnicity	Numeric: 100 = American Indian or Alaska Native 200 = Asian 600 = Black or African American 400 = Filipino 500 = Hispanic or Latino 300 = Native Hawaiian or Pacific Islander 700 = White 800 = Two or More Races	Derived
206	1098	1099	2	Parent Education Level	Numeric (10-15) or blank 10 = Graduate school/postgraduate training 11 = College graduate 12 = Some college (includes AA degree) 13 = High school graduate 14 = Not a high school graduate 15 = Declined to state or unknown	CALPADS ParentHighestEducationLevel

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
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 CMA California Modified Assessment

CST California Standards Test
 ELA English language arts/literacy
 LEA local educational agency
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RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
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Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
TEST PARTICIPATION						
	Number of Items Attempted					
207	1100	1101	2	Smarter ELA—PT	Numeric (00–Maximum) or blank	Scoring
208	1102	1103	2	Smarter ELA—Non-PT		
209	1104	1105	2	Smarter Mathematics—PT		
210	1106	1107	2	Smarter Mathematics—Non-PT		
211	1108	1109	2	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10) CAPA for Science (Grades 5, 8, 10)		
212	1110	1111	2	STS for RLA		
CAASPP Smarter Balanced Attemptedness Flag						
213	1112	1112	1	Smarter ELA	N = Nonparticipant (only had activity on either CAT or PT, but not both) P = Participant (logged on to both CAT and PT but did not respond to anything on either or both) Y = Attempted (logged on to both CAT and PT and responded to at least 10 items on CAT and 1 item on PT)	Scoring
214	1113	1113	1	Smarter Mathematics		
CAASPP Smarter Balanced Invalidated Status Flag						
215	1114	1114	1	Smarter Balanced for ELA	Y or blank	Test Delivery
216	1115	1115	1	Smarter Balanced for Mathematics		
CAASPP Smarter Balanced Test Delivery Type						
217	1116	1116	1	Smarter Balanced for ELA—CAT or Paper	C = CAT, P = Paper	TOMS
218	1117	1117	1	Smarter Balanced for Mathematics—CAT or Paper	C = CAT, P = Paper	TOMS
Science Test Results Reported						
219	1118	1118	1	CST or CMA Science Test Results Reported	Numeric or blank 1 = CST for Science test results reported 2 = CMA for Science test results reported Blank = CST/CMA for Science test not taken	TOMS
Include Indicator for Aggregate Reporting						
220	1119	1119	1	Smarter Balanced for ELA STS for RLA	Alpha (See the codes listed in the Reference Table on page 23.)	Derived
221	1120	1120	1	Smarter Balanced for Mathematics		
222	1121	1121	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10) CAPA for Science (Grades 5, 8, 10)		

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
 CDE California Department of Education
 CMA California Modified Assessment

CST California Standards Test
 ELA English language arts/literacy
 LEA local educational agency
 LEP limited English proficient
 LOSS lowest obtainable scale score
 PT performance task

RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

Field #	Field Start	Field End	Length	Field Description	Acceptable Values	Data Source Data Element
TEST RESULTS						
223	1122	1129	8	Filler	Blank	—
224	1130	1137	8	Filler	Blank	—
Raw Scores						
225	1138	1139	2	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10) CAPA for Science (Grades 5, 8, 10)	Numeric (00—maximum) or blank 91 = Blank test 99 = Incomplete test	Scoring
226	1140	1141	2	STS for RLA		
CAASPP Smarter Balanced for ELA Claim Performance Level See Appendix B of the 2015 CAASPP Post-Test Guide for a complete list of claims for each content area and grade level.						
227	1142	1142	1	Claim 1	Numeric (1–3, 9) or blank (did not take test) 3 = Above standard 2 = At or near standard 1 = Below standard 9 = No score (claim not attempted)	Scoring
228	1143	1143	1	Claim 2		
229	1144	1144	1	Claim 3		
230	1145	1145	1	Claim 4		
CAASPP Smarter Balanced for Mathematics Claim Performance Level See Appendix B of the 2015 CAASPP Post-Test Guide for a complete list of claims for each content area and grade level.						
231	1146	1146	1	Claim 1	Numeric (1–3, 9) or blank (did not take test) 3 = Above standard 2 = At or near standard 1 = Below standard 9 = No score (claim not attempted)	Scoring
232	1147	1147	1	Claim 2		
233	1148	1148	1	Claim 3		
Scale Scores						
234	1149	1152	4	Smarter Balanced for ELA	Numeric or blank	Scoring
235	1153	1156	4	Smarter Balanced for Mathematics		
236	1157	1159	3	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10) CAPA for Science (Grades 5, 8, 10)		
237	1160	1162	3	STS for RLA		
CAASPP Smarter Balanced Scale Scores Error Bands Scale score ranges for the Summative Assessments are listed in the Reference Tables on page 23.						
238	1163	1166	4	Smarter Balanced for ELA— Standard Error of Measurement (SEM)	Numeric or blank	Scoring
239	1167	1170	4	Smarter Balanced for ELA Error Band Minimum		
240	1171	1174	4	Smarter Balanced for ELA Error Band Maximum		
241	1175	1178	4	Smarter Balanced for Mathematics—SEM		
242	1179	1182	4	Smarter Balanced for Mathematics Error Band Minimum		
243	1183	1186	4	Smarter Balanced for Mathematics Error Band Maximum		

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
 CDE California Department of Education
 CMA California Modified Assessment

CST California Standards Test
 ELA English language arts/literacy
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 LEP limited English proficient
 LOSS lowest obtainable scale score
 PT performance task

RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

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CST, CMA, CAPA, STS Performance Levels						
244	1187	1187	1	STS for RLA	Numeric (1–5, or 9) or blank 5 = Advanced 4 = Proficient 3 = Basic 2 = Below Basic 1 = Far Below Basic 9 = Did not test	Scoring
245	1188	1188	1	CST for Science (Grades 5, 8), Life Science (Grade 10) CMA for Science (Grades 5, 8), Life Science (Grade 10) CAPA for Science (Grades 5, 8, 10)		
CAASPP Smarter Balanced Achievement Levels						
246	1189	1189	1	Smarter Balanced for ELA	Numeric (1–4, or 9) or blank 4 = Standard Exceeded 3 = Standard Met 2 = Standard Nearly Met 1 = Standard Not Met 9 = No score	Scoring
247	1190	1190	1	Smarter Balanced for Mathematics		
Version Assignments for CAASPP Paper-Pencil Tests						
248	1191	1192	2	CST, CMA, STS Grade Level Test Version Taken	Numeric (01–max) (99 = Braille) Blank = Did not grid or '++' = multiple marks Note: 99 = Braille is set if any subject has accommodation G marked.	Test Delivery
EARLY ASSESSMENT PROGRAM PERMISSIONS						
249	1193	1193	1	EAP ELA—Student Authorized ETS to Release Results to the California State University and California Community Colleges	1–2 or blank 1 = Release 2 = Do not Release	Test Delivery
250	1194	1194	1	EAP Mathematics—Student Authorized ETS to Release Results to the California State University and California Community Colleges	1–2 or blank 1 = Release 2 = Do not Release	Test Delivery
251	1195	1393	199	Filler	Blank	–

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
 CDE California Department of Education
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CST California Standards Test
 ELA English language arts/literacy
 LEA local educational agency
 LEP limited English proficient
 LOSS lowest obtainable scale score
 PT performance task

RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

Reference Tables

Primary Language Codes

Code	Language Name	Code	Language Name
AFA	Chaldean	MAR	Unknown
ALB	Albanian	MIS	Kannada
ARA	Arabic	MKH	Khmer (Cambodian)
ARM	Armenian	OTO	Mixteco
BAT	Serbo-Croatian (Bosnian, Croatian, Serbian)	PAN	Punjabi
BEN	Bengali	PER	Farsi (Persian)
BUR	Burmese	PHI	Filipino (Tagalog)
CEB	Cebuano (Yisayan)	PHI	Ilocano
CHA	Chamorro (Guamanian)	POL	Polish
CHI	Cantonese	POR	Portuguese
CHI	Mandarin (Putonghua)	PUS	Pashto
CHI	Chaozhou (Chiuchow)	RUM	Rumanian
CHI	Taiwanese	RUS	Russian
DUT	Dutch	SGN	Sign Language
ENG	English	SIT	Lahu
FRE	French	SIT	Toishanese
GER	German	SMO	Samoan
GRE	Greek	SOM	Somali
GUJ	Gujarati	SPA	Spanish
HEB	Hebrew	SYR	Assyrian
HIN	Hindi	TAM	Tamil
HMN	Hmong	TEL	Telugu
HUN	Hungarian	THA	Thai
IND	Indonesian	TIR	Tigrinya
ITA	Italian	TON	Tongan
JPN	Japanese	TUR	Turkish
KAN	Marathi	UKR	Ukrainian
KOR	Korean	URD	Urdu
KUR	Kurdish (Kurdi, Kurmanji)	VIE	Vietnamese
LAO	Lao	YAO	Mien (Yao)
MAH	Marshallese	ZXX	Other non-English language
MAP	Khmu		

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
 CDE California Department of Education
 CMA California Modified Assessment

CST California Standards Test
 ELA English language arts/literacy
 LEA local educational agency
 LEP limited English proficient
 LOSS lowest obtainable scale score
 PT performance task

RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

Primary Disability Codes

Code	Type
AUT	Autism
DB	Deaf-blindness
EMN	Emotional disturbance
HI	Hearing impairment
ID	Intellectual Disability
MD	Multiple disabilities
OI	Orthopedic impairment
OHI	Other health impairment
SLD	Specific learning disability
SLI	Speech or language impairment
TBI	Traumatic brain injury
VI	Visual Impairment

Translation Glossaries (Embedded Support)

Code	Definition	Code	Definition
01	English	12	Arabic and English
02	Arabic	13	Cantonese and English
03	Cantonese	14	Spanish and English
04	Spanish	15	Korean and English
05	Korean	16	Mandarin and English
06	Mandarin	17	Punjabi and English
07	Punjabi	18	Russian and English
08	Russian	19	Filipino and English
09	Filipino	20	Ukrainian and English
10	Ukrainian	21	Vietnamese and English
11	Vietnamese	22	None

Translation Glossaries (Non-Embedded Support)

Code	Definition
01	Cantonese
02	Spanish
03	Korean
04	Mandarin
05	Punjabi
06	Filipino
07	Ukrainian
08	Vietnamese
09	Russian
10	Arabic
11	English
12	None

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
 CDE California Department of Education
 CMA California Modified Assessment

CST California Standards Test
 ELA English language arts/literacy
 LEA local educational agency
 LEP limited English proficient
 LOSS lowest obtainable scale score
 PT performance task

RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

Include Indicators for Aggregate Reporting

Code	Meaning	Condition and/or Result
Y	<ul style="list-style-type: none"> Valid score 	<ul style="list-style-type: none"> Included in number tested, aggregations, and averages
R	<ul style="list-style-type: none"> Modified test (CST for Science, STS for RLA) Invalidated test (Smarter Balanced for ELA and Mathematics) 	<ul style="list-style-type: none"> Included in number tested but not in valid scores Score does not aggregate CST for Science and STS for RLA Performance Level aggregates to far below basic Smarter ELA and Mathematics Achievement Level aggregates to Standard Not Met
T	<ul style="list-style-type: none"> Failed attemptedness (includes “Tested but marked no responses”) 	<ul style="list-style-type: none"> Include in number tested but not in valid scores, aggregations, or averages
N	<ul style="list-style-type: none"> Not tested (includes STS for RLA taken by a non-English learner student) 	<ul style="list-style-type: none"> Not included in number tested, valid scores, aggregations, or averages

Scale Score Ranges for Smarter Balanced Summative Assessments

Achievement Level Scale Score Ranges—ELA

Grade	Standard Not Met	Standard Nearly Met	Standard Met	Standard Exceeded
3	2114–2366	2367–2431	2432–2489	2490–2623
4	2131–2415	2416–2472	2473–2532	2533–2663
5	2201–2441	2442–2501	2502–2581	2582–2701
6	2210–2456	2457–2530	2531–2617	2618–2724
7	2258–2478	2479–2551	2552–2648	2649–2745
8	2288–2486	2487–2566	2567–2667	2668–2769
11	2299–2492	2493–2582	2583–2681	2682–2795

Achievement Level Scale Score Ranges—Mathematics

Grade	Standard Not Met	Standard Nearly Met	Standard Met	Standard Exceeded
3	2189–2380	2381–2435	2436–2500	2501–2621
4	2204–2410	2411–2484	2485–2548	2549–2659
5	2219–2454	2455–2527	2528–2578	2579–2700
6	2235–2472	2473–2551	2552–2609	2610–2748
7	2250–2483	2484–2566	2567–2634	2635–2778
8	2265–2503	2504–2585	2586–2652	2653–2802
11	2280–2542	2543–2627	2628–2717	2718–2862

CAASPP California Assessment of Student Performance and Progress
 CALPADS California Longitudinal Pupil Achievement Data System
 CAPA California Alternate Performance Assessment
 CAT computer adaptive test
 CDE California Department of Education
 CMA California Modified Assessment

CST California Standards Test
 ELA English language arts/literacy
 LEA local educational agency
 LEP limited English proficient
 LOSS lowest obtainable scale score
 PT performance task

RLA reading/language arts
 SEM standard error of measurement
 SGID school and grade identification sheet
 SSID Statewide Student Identifier
 STS Standards-based Tests in Spanish
 UIN unique identification number

Scale Score Ranges for CAASPP Paper-Pencil Tests

Performance Level Scale Score Ranges—CSTs for Science

Grade/Test	Far Below Basic	Below Basic	Basic	Proficient	Advanced
Grade 5 (Grades 4 and 5 Standards)	150–267	268–299	300–349	350–409	410–600
Grade 8 Science	150–252	253–299	300–349	350–402	403–600
Grade 10 Life Science	150–268	269–299	300–349	350–398	399–600

Performance Level Scale Score Ranges—CMA for Science

Grade/Test	Far Below Basic	Below Basic	Basic	Proficient	Advanced
Grade 5 (Grades 4 and 5 Standards)	150–242	243–299	300–349	350–400	401–600
Grade 8	150–263	264–299	300–349	350–405	406–600
Grade 10 Life Science	150–250	251–299	300–349	350–409	410–600

Performance Level Scale Score Ranges—CAPA for Science

Level/Grade	Far Below Basic	Below Basic	Basic	Proficient	Advanced
I (Grades 5, 8, 10)	15	16–29	30–34	35–38	39–60
III (Grade 5)	15–21	22–29	30–34	35–39	40–60
IV (Grade 8)	15–19	20–29	30–34	35–39	40–60
V (Grade 10)	15–20	21–29	30–34	35–38	39–60

Performance Level Scale Score Ranges—STS for RLA

Grade/Test	Far Below Basic	Below Basic	Basic	Proficient	Advanced
2	150–241	242–299	300–349	350–385	386–600
3	150–250	251–299	300–349	350–392	393–600
4	150–255	256–299	300–349	350–386	387–600
5	150–270	271–299	300–349	350–400	401–600
6	150–259	260–299	300–349	350–400	401–600
7	150–255	256–299	300–349	350–398	399–600
8	150–247	248–299	300–349	350–400	401–600
9	150–247	248–299	300–349	350–395	396–600
10	150–239	240–299	300–349	350–393	394–600
11	150–234	235–299	300–349	350–396	397–600

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